



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 5039

| | | | | | |
|---|---|-----------------------------------|--|--|------------------------------------|
| SERIAL NUMBER 10/029,731 | FILING OR 371(c) DATE 12/21/2001 RULE | CLASS 705 | GROUP ART UNIT 3628 | ATTORNEY DOCKET NO. 61109-0003 | |
| APPLICANTS Jeffrey G. Tarrant, New York, NY; | | | | | |
| ** CONTINUING DATA ***** This appln claims benefit of 60/257,683 12/22/2000 | | | | | |
| ** FOREIGN APPLICATIONS ***** | | | | | |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY ** ** 01/25/2002 | | | | | |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____ | | STATE OR COUNTRY NY | SHEETS DRAWING 6 | TOTAL CLAIMS 14 | INDEPENDENT CLAIMS 4 |
| ADDRESS 009629 | | | | | |
| TITLE Method and system for sharing investor information over an electronic network | | | | | |
| FILING FEE RECEIVED 477 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | | |